CFTC FORM 1-FR-FCM 0005

Name of Company: MID CO COMMODITIES INC 0010	Employer ID No: 371085132 0020	NFA ID No: 0002588 0030
Address of Principal Place of Business: 1701 Towanda Ave PO Box 2500 Bloomington IL 61702-2500 0050	Person to Contact Concerning This Report: Mark Brummer 0040 Telephone No: 309-557-6048 0060 E-Mail Address: mbrummer@growmark.com 0065	

1. Report for the period beginning 09/01/2019 0070 and ending 09/30/2019 0080

2. Type of report 0090 ☐ Certified ☐ Regular quarterly/semiannual ☐ Monthly 1.12(b)

☐ Special call by: ☐ Other -- Identify:

3. Check whether 0095 ☒ Initial filing ☐ Amended filing

4. Name of FCM's Designated Self-Regulatory Organization: NFA 0100

5. Name(s) of consolidated subsidiaries and affiliated companies:

Name		Percentage Ownership		Line of Business	
	0110		0120		0130
	0140		0150		0160
	0170		0180		0190
	0200		0210		0220
	0230		0240		0250

The futures commission merchant, or applicant for registration therefor, submitting this Form and its attachments and the person whose signature appears below represent that, to the best of their knowledge, all information contained therein is true, correct and complete. It is understood that all required items, statements and schedules are integral parts of this Form and that the submission of any amendment represents that all unamended items, statements and schedules remain true, correct and complete as previously submitted. It is further understood that any intentional misstatements or omissions of facts constitute Federal Criminal Violations (see 18 U.S.C. 1001).

Signed on 10/23/2019

Manual signature Electronically submitted through WinJammer

Type or print name Jeffrey Lynch,

☐ Chief Executive Officer ☐ Chief Financial Officer Corporate Title ____________

☐ General Partner ☐ Sole Proprietor

AUTHORITY: Sections 4c, 4d, 4f, 4g, 5a, 8a, and 17 of the Commodity Exchange Act (7 U.S.C. 6c, 6d, 6f 6g, 7a, 12a and 21)

- 1 -

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM

STATEMENT OF FINANCIAL CONDITION

AS OF 9/30/2019

Assets

		Current		Non-Current		Total	
1.	Funds segregated or in separate accounts pursuant to the CEAct and the Regulations						
	A. U.S. exchanges (page 11, line 13)	$ 26,966,901	1000			$ 26,966,901	1005
	B. Dealer options (page 12, line 2.C.)	0	1010			0	1015
	C. Foreign exchanges (page 14, line 8)	0	1020	$ 0	1025	0	1030
	D. Cleared Swaps (page 15, line 13)	0	1022	0	1027	$ 0	1032
	(Do not duplicate line 1. assets below)						
2.	Cash	137,773	1040	0	1045	137,773	1050
3.	Securities, at market value						
	A. Firm owned	0	1055	0	1060	0	1065
	B. Noncustomer-owned	0	1070			0	1075
	C. Individual partners' and member's security accounts	0	1090			0	1095
	D. Stock in clearing organizations	0	1100	0	1105	0	1110
4.	Securities purchased under resale agreements	0	1115	0	1120	0	1125
5.	Receivables from and deposits with U.S. derivatives clearing organizations						
	A. Margins	0	1130			0	1135
	B. Settlement receivable	0	1140			0	1145
	C. Guarantee deposits	0	1150			0	1155
	D. Long options value	0	1157			0	1158
6.	Receivables from and deposits with foreign commodity clearing organizations						
	A. Margins	0	1160	0	1165	0	1170
	B. Settlement receivable	0	1175			0	1180
	C. Guarantee deposits	0	1182	0	1185	0	1190
	D. Long options value	0	1191	0	1192	0	1193
7.	Receivables from registered FCMs						
	A. Net liquidating equity	25,392,797	1195	0	1200	25,392,797	1205
	B. Security deposits			0	1210	0	1215
	C. Other	0	1220	0	1225	0	1230
8.	Receivables from foreign commodity brokers						
	A. Net liquidating equity	0	1235	0	1240	0	1245
	B. Security deposits			0	1250	0	1255
	C. Other	0	1260	0	1265	0	1270

9. Receivables from traders on U.S. commodity exchanges						
A. Customer debit and deficit accounts	0	1275	58	1280	58	1285
B. Noncustomer and proprietary accounts	0	1290	0	1295	0	1300
C. Other	0	1305	0	1310	0	1315
D. Allowance for doubtful accounts			0	1320	0	1325
10. Receivables from traders on foreign boards of trade						
A. Customer debit and deficit accounts	0	1330	0	1335	0	1340
B. Noncustomer and proprietary accounts	0	1345	0	1350	0	1355
C. Other	0	1360	0	1365	0	1370
D. Allowance for doubtful accounts			0	1375	0	1380
11. Inventories of cash commodities, raw materials, work in progress and finished goods						
A. Covered	0	1385	0	1390	0	1395
B. Not covered	0	1400	0	1405	0	1410
12. Secured demand notes (Value of collateral $0 [1415] Safety factor $0 [1420])	0	1425	0	1430	0	1435
13. Other receivables and advances						
A. Merchandising accounts receivable	0	1440	0	1445	0	1450
B. Notes receivable	0	1455	2,000,000	1460	2,000,000	1465
C. Commissions and brokerage receivable	0	1470	0	1475	0	1480
D. Receivables from employees and associated persons	0	1485	0	1490	0	1495
E. Advances on cash commodities	0	1500	0	1505	0	1510
F. Dividends and interest	4,315	1515	0	1520	4,315	1525
G. Taxes receivable	0	1530	430,425	1535	430,425	1540
H. Receivables from subsidiaries and affiliates	0	1545	0	1550	0	1555
I. Other (Itemize on a separate page)	0	1560	69,345	1565	69,345	1570
J. Allowance for doubtful accounts			0	1575	0	1580
14. Unrealized gains on forward contracts and commitments	0	1585	0	1590	0	1595
15. Exchange memberships, at cost (Market value $0 [1600])			0	1605	0	1610
16. Investments in subsidiaries	0	1612	0	1615	0	1620
17. Plant, property, equipment and capitalized leases (cost net of accumulated depreciation and amortization of $0 [1625])	0	1630	70,631	1635	70,631	1640
18. Prepaid expenses and deferred charges			97,200	1645	97,200	1650
19. A. Other assets (itemize on separate page)	0	1655	137,074	1660	137,074	1665
B. Retail Forex Aggregate Assets	0	1657	0	1662	0	1667
20. Total Assets	$ 52,501,786	1670	$ 2,804,733	1675	$ 55,306,519	1680

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM

STATEMENT OF FINANCIAL CONDITION

AS OF 9/30/2019

Liabilities & Ownership Equity

Liabilities

21.	Payables to banks		
	A. Secured loans	$ 0	2000
	B. Unsecured loans	0	2010
	C. Overdrafts	0	2020
22.	Equities in commodity accounts		
	A. Customers trading on U.S. commodity exchanges	17,162,530	2030
	B. Customers trading on foreign exchanges	0	2040
	C. Customers' dealer options accounts	0	2050
	D. Noncustomers' accounts	25,364,730	2060
	E. General partners' and member's trading accounts (not included in capital)	0	2070
	F. Customers trading cleared swaps	0	2072
23.	Payable to U.S. commodity clearing organizations Including short option value of $0 [2075]	0	2080
24.	Payable to foreign commodity clearing organizations Including short option value of $0 [2085]	0	2090
25.	Payable to registered futures commission merchants	0	2100
26.	Payable to foreign commodity brokers	0	2110
27.	Accounts payable, accrued expenses and other payables		
	A. Accounts payable and accrued expenses	1,593,208	2120
	B. Salaries, wages, commissions and bonuses payable	0	2130
	C. Taxes payable	0	2140
	D. Deferred income taxes	0	2150
	E. Security deposits held	0	2160
	F. Advances against commodities	0	2170
	G. Unrealized losses on forward contracts and commitments	0	2180
	H. Due to subsidiaries and affiliates	0	2190
	I. Notes, mortgages and other payables due within twelve months	0	2200
	J. Obligation to Retail FX Customers	0	2205
	K. Other (itemize on a separate page)	0	2210
28.	Notes, mortgages and other payables not due within twelve months of the date of this statement		
	A. Unsecured	0	2220
	B. Secured	0	2230

29.	Securities sold under agreements to repurchase			0	2240
30.	Securities sold not yet purchased, at market value			0	2250
31.	Liabilities subordinated to claims of general creditors				
	A. Subject to a satisfactory subordination agreement			0	2260
	B. Not subject to a satisfactory subordination agreement			0	2270
32.	Total liabilities			$ 44,120,468	2280

Ownership Equity

33.	Sole proprietorship	$ 0	2500		
34.	Partnership or Limited Liability Company				
	A. Partnership or LLC contributed and retained capital	$ 0	2510		
	B. Additional capital per partnership or membership agreement (equities in partners' or members' trading accounts, etc.)	0	2515		
	C. Total	$ 0	2520		
35.	Corporation				
	A. Preferred stock	$ 356,500	2530		
	B. Common stock	12,300	2535		
	C. Additional paid in capital	0	2540		
	D. Retained earnings	10,817,251	2545		
	E. Subtotal	$ 11,186,051	2550		
	F. Less: capital stock in treasury	0	2555		
	G. Total	$ 11,186,051	2560		
36.	Total ownership equity (line 33, 34.C. or 35.G)			$ 11,186,051	2570
37.	Total liabilities and ownership equity (add lines 32 and 36)			$ 55,306,519	2580

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM

STATEMENT OF THE COMPUTATION OF THE MINIMUM CAPITAL REQUIREMENTS

AS OF 9/30/2019

Net Capital

1.	Current assets (page 3, line 20)					$ 52,501,786	3000
2.	Increase/(decrease) to U.S. clearing organization stock to reflect margin value					0	3010
3.	Net current assets					$ 52,501,786	3020
4.	Total liabilities (page 5, line 32)			$ 44,120,468	3030		
5.	Deductions from total liabilities						
	A. Liabilities subject to satisfactory subordination agreements (page 5, line 31.A)	$ 0	3040				
	B. Certain deferred income tax liability (see regulation 1.17(c)(4)(iv))	0	3050				
	C. Certain current income tax liability (see regulation 1.17(c)(4)(v))	0	3060				
	D. Long term debt pursuant to regulation 1.17(c)(4)(vi)	0	3070				
	E. Total deductions (add lines 5.A. - 5.D.)			0	3080		
	F. Adjusted liabilities (subtract line 5.E from line 4)					44,120,468	3090
6.	Net capital (subtract line 5.F. from line 3)					$ 8,381,318	3100

Charges Against Net Capital (see regulation 1.17(c)(5))

7.	Excess of advances paid on cash commodity contracts over 95% of the market value of commodities covered by such contracts	$ 0	3110
8.	Five percent (5%) of the market value of inventories covered by open futures contracts or commodity options (no charges applicable to inventories registered as deliverable on a contract market and which are covered by futures contracts)	0	3120
9.	Twenty percent (20%) of the market value of uncovered inventories or lesser percentage charge for uncovered balances in specified foreign currencies	469	3130
10.	Ten percent (10%) of the market value of commodities underlying fixed price commitments and forward contracts which are covered by open futures contracts or commodity options	0	3140
11.	Twenty percent (20%) of the market value of commodities underlying fixed price commitments and forward contracts which are not covered by open futures contracts or commodity options	0	3150

12. Charges as specified in section 240.15c3-1(c)(2)(vi) and (vii) against securities owned by firm, including securities representing investments of domestic and foreign customers' funds:

		Market Value		Charge			
A.	U.S. and Canadian government obligations	$ 0	3160	$ 0	3170		
B.	State and Municipal government obligations	0	3180	0	3190		
C.	Certificates of deposit, commercial paper and bankers' acceptances	0	3200	0	3210		
D.	Corporate obligations	0	3220	0	3230		
E.	Stocks and warrants	0	3240	0	3250		
F.	Other securities	0	3260	0	3270		
G.	Total charges (add lines 12.A. - 12.F.)					0	3280

13.	Charges as specified in section 240.15c3-1(c)(2)(iv)(F)				
	A. Against securities purchased under agreements to resell			0	3290
	B. Against securities sold under agreements to repurchase			0	3300
14.	Charges on securities options as specified in section 240.15c3-1, Appendix A			0	3310
15.	Undermargined commodity futures and commodity options accounts - amount in each account required to meet maintenance margin requirements, less the amount of current margin calls in that account and the amount of any noncurrent deficit in the account				
	A. Customer accounts			33,974	3320
	B. Noncustomer accounts			0	3330
	C. Omnibus accounts			0	3340
16.	Charges against open commodity and cleared OTC derivatives positions in proprietary accounts				
	A. Uncovered exchange-traded futures, cleared OTC derivatives positions and granted options contracts				
	i percentage of margin requirements applicable to such contracts	$ 0	3350		
	ii Less: equity in proprietary accounts included in liabilities	0	3360	0	3370
	B. Ten percent (10%) of the market value of commodities which underlie commodity options not traded on a contract market carried long by the applicant or registrant which has value and such value increased adjusted net capital (this charge is limited to the value attributed to such options)			0	3380
	C. Commodity options which are traded on contract markets and carried long in proprietary accounts. Charge is the same as would be applied if applicant or registrant was the grantor of the options (this charge is limited to the value attributed to such options)			0	3390
17.	Five percent (5%) of all unsecured receivables from foreign brokers			0	3410
18.	Deficiency in collateral for secured demand notes			0	3420
19.	Adjustment to eliminate benefits of consolidation (explain on separate page)			0	3430
20.	Total charges (add lines 7 through 19)			$ 34,443	3440

Net Capital Computation

21. Adjusted net capital (subtract line 20 from line 6)					$ 8,346,875	3500
22. Net capital required						
A. Risk Based Requirement						
i Amount of Customer Risk Maintenance Margin	$ 22,345,125	3515				
ii Enter 8% of line 22.A.i			$ 1,787,610	3525		
iii Amount of Non-Customer Risk Maintenance Margin	$ 26,431,710	3535				
iv Enter 8% of line 22.A.iii			$ 2,114,537	3545		
v Enter the sum of 22.A.ii and 22.A.iv			$ 3,902,147	3555		
B. Minimum Dollar Amount Requirement			$ 1,000,000	3565		
C. Other NFA Requirement			$ 0	3575		
D. Enter the greater of lines 22.A.v, 22.B. or 22.C.					$ 3,902,147	3600
23. Excess net capital (line 21 less line 22.D.)					$ 4,444,728	3610

Computation of Early Warning Level

24. If the Minimum Net Capital Requirement computed on line D (Box 3600) is: $ 4,292,362 3620

- The Risk Based Requirement, enter 110% of line 22.A.v. (3555), or
- The Minimum Dollar Requirement of $1,000,000, enter 150% of line 22.B. (3565), or
- The Minimum Dollar Requirement of $20,000,000 for FCMs offering or engaging in retail forex transactions or Retail Foreign Exchange Dealers (“RFED”), enter 110% of line 22.B (3565), or
- Other NFA Requirement for FCMs offering or engaging in retail forex transaction or Retail Foreign Exchange Dealers ("RFED"), as calculated on line 11.F (8210) of Exchange Supplementary Schedule, enter 110% of line 22.C. (3575), or
- Any other NFA Requirement, enter 150% of line 22.C. (3575)

This is your early warning capital level. If this amount is greater that the amount on line 21, you must immediately notify your DSRO and the Commission pursuant to section 1.12 of the regulations.

Guaranteed Introducing Brokers

25. List all IBs with which guarantee agreements have been entered into by the FCM and which are currently in effect. 3650
See Attached

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM

STATEMENT OF INCOME (LOSS)
FOR THE PERIOD FROM 9/ 1/2019 THROUGH 9/30/2019

Revenues			
1.	Commissions and brokerage		
	A. Commodity transactions on U.S. commodity exchanges	$ 322,910	4000
	B. Commodity transactions on foreign commodity exchanges	0	4010
	C. Securities transactions	0	4020
	D. Other brokerage activities (describe on a separate page)	0	4030
2.	Firm trading accounts		
	A. Commodity transactions	0	4040
	B. Securities transactions	0	4050
	C. Other firm trading (describe on a separate page)	0	4060
3.	Income from advisory services	0	4070
4.	Interest and dividends		
	A. Interest earned on investments of customers' funds	57,986	4080
	B. Interest earned on investments of other than customers' funds	0	4090
	C. Dividends	0	4100
5.	Other income (itemize on a separate page)	0	4110
6.	Total revenue	$ 380,896	4120
Expenses			
7.	Sales personnel commissions	$ 0	4200
8.	Floor brokerage	74,225	4210
9.	Clerical and administrative employees' expenses	143,567	4220
10.	Commissions to other FCMs	0	4230
11.	Exchange clearance fees	0	4240
12.	Occupancy and equipment costs	20,645	4250
13.	Promotional costs	0	4260
14.	Communications	1,959	4270
15.	Data processing	25,610	4280
16.	Bad debt expense	0	4290
17.	Trade Errors		
	A. Customers' accounts	42	4300
	B. Other	0	4310
18.	Interest	0	4320
19.	Other expenses (itemize on a separate page)	34,163	4330
20.	Total expenses	$ 300,211	4340
21.	Income (loss) before income taxes and items below	$ 80,685	4400
22.	Provision for income taxes	30,894	4410
23.	Equity in earnings (losses) of unconsolidated subsidiaries, less applicable tax	0	4420
24.	Extraordinary gains (losses), less applicable tax	0	4430
25.	Cumulative effect of changes in accounting principles, less applicable tax	0	4440
26.	Net income (loss)	$ 49,791	4450

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM

STATEMENT OF THE CHANGES IN OWNERSHIP EQUITY

FOR THE PERIOD FROM 9/ 1/2019 THROUGH 9/30/2019

1.	Total ownership equity as previously reported	$ 11,136,260	4500
2.	Net income (loss) for period	49,791	4510
3.	Other additions to capital (explain below)	0	4520
4.	Dividends	0	4530
5.	Other deductions from capital (including partner and proprietary withdrawals) (Explain below)	0	4540
6.	Balance (page 5, line 36)	$ 11,186,051	4550

See attached for date, explanation and amount.

STATEMENT OF CHANGES IN LIABILITIES

SUBORDINATED TO THE CLAIMS OF GENERAL CREDITORS

PURSUANT TO A SATISFACTORY SUBORDINATION AGREEMENT

FOR THE PERIOD FROM 9/ 1/2019 THROUGH 9/30/2019

		All Satisfactory Subordinated Debt		Debt that Qualifies as Equity Capital *	
1.	Total subordinated borrowings as previously reported	$ 0	4600	$ 0	4605
2.	Increases (explain below)	0	4610	0	4615
3.	Decreases (explain below)	0	4620	0	4625
4.	Balance (page 5, line 31.A)	$ 0	4630	$ 0	4635

* Equity capital is defined in regulation 1.17(d)

See attached for date, explanation and amount.

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION

FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

AS OF 9/30/2019

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1.	Net ledger balance				
	A. Cash			$ 11,263,004	5000
	B. Securities (at market)			0	5010
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market			5,448,242	5020
3.	Exchange traded options				
	A. Market value of open option contracts purchased on a contract market			619,175	5030
	B. Market value of open option contracts granted (sold) on a contract market			(167,949)	5040
4.	Net equity (deficit) (add lines 1, 2, and 3)			$ 17,162,472	5050
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	$ 58	5060		
	Less: amount offset by customer owned securities	0	5070	58	5080
6.	Amount required to be segregated (add lines 4 and 5)			$ 17,162,530	5090

FUNDS IN SEGREGATED ACCOUNTS

7.	Deposited in segregated funds bank accounts		
	A. Cash	$ 14,793,253	5100
	B. Securities representing investments of customers' funds (at market)	0	5110
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	5120
8.	Margins on deposit with derivatives clearing organizations of contract markets		
	A. Cash	0	5130
	B. Securities representing investments of customers' funds (at market)	0	5140
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	5150
9.	Net settlement from (to) derivatives clearing organizations of contract markets	0	5160
10.	Exchange traded options		
	A. Value of open long option contracts	0	5170
	B. Value of open short option contracts	0	5180
11.	Net equities with other FCMs		
	A. Net liquidating equity	12,173,648	5190
	B. Securities representing investments of customers' funds (at market)	0	5200
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	5210
12.	Segregated funds on hand (describe:)	0	5215
13.	Total amount in segregation (add lines 7 through 12)	$ 26,966,901	5220
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 9,804,371	5230
15.	Management Target Amount Excess funds in segregation	$ 5,138,000	5240
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	$ 4,666,371	5250

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS

IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

AS OF 9/30/2019

1.	Amount required to be segregated in accordance with Commission regulation 32.6			$ 0	5400
2.	Funds in segregated accounts				
	A. Cash	$ 0	5410		
	B. Securities (at market)	0	5420		
	C. Total			0	5430
3.	Excess (deficiency) funds in segregation (subtract line 1. from line 2.C.)			$ 0	5440

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS

PURSUANT TO COMMISSION REGULATION 30.7

AS OF 9/30/2019

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$ 0	5605
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers				
A. Cash			$ 0	5615
B. Securities (at market)			$ 0	5617
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			$ 0	5625
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade			$ 0	5635
B. Market value of open option contracts granted (sold) on a foreign board of trade			$ 0	5637
4. Net equity (deficit) (add lines 1, 2, and 3)			$ 0	5645
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	$ 0	5651		
Less: amount offset by customer owned securities	$ 0	5652	$ 0	5654
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$ 0	5655
7. Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.			$ 0	5660

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 9/30/2019

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1.	Cash in Banks					
	A. Banks located in the United States		$ 0	5700		
	B. Other banks qualified under Regulation 30.7 Name(s): _	5710	0	5720	$ 0	5730
2.	Securities					
	A. In safekeeping with banks located in the United States		$ 0	5740		
	B. In safekeeping with other banks qualified under Regulation 30.7 Name(s): _	5750	0	5760	0	5770
3.	Equities with registered futures commission merchants					
	A. Cash		$ 0	5780		
	B. Securities		0	5790		
	C. Unrealized gain (loss) on open futures contracts		0	5800		
	D. Value of long option contracts		0	5810		
	E. Value of short option contracts		0	5815	0	5820
4.	Amounts held by clearing organizations of foreign boards of trade Name(s): _	5830				
	A. Cash		$ 0	5840		
	B Securities		0	5850		
	C. Amount due to (from) clearing organization - daily variation		0	5860		
	D. Value of long option contracts		0	5870		
	E. Value of short option contracts		0	5875	0	5880
5.	Amounts held by members of foreign boards of trade Name(s): _	5890				
	A. Cash		$ 0	5900		
	B. Securities		0	5910		
	C. Unrealized gain (loss) on open futures contracts		0	5920		
	D. Value of long option contracts		0	5930		
	E. Value of short option contracts		0	5935	0	5940
6.	Amounts with other depositories designated by a foreign board of trade Name(s): _	5950			0	5960
7.	Segregated funds on hand (describe): _				0	5965
8.	Total funds in separate section 30.7 accounts				$ 0	5970
9.	Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)				$ 0	5680
10.	Management Target Amount for Excess funds in separate 30.7 accounts				0	5980
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess				0	5985

SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER:	**as of:** 09/30/2019

STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1.	Net ledger balance				
	A. Cash			$ 0	8500
	B. Securities (at market)			0	8510
2.	Net unrealized profit (loss) in open cleared swaps			0	8520
3.	Cleared swaps options				
	A. Market value of open cleared swaps option contracts purchased			0	8530
	B. Market value of open cleared swaps granted (sold)			0	8540
4.	Net equity (deficit) (add lines 1, 2 and 3)			0	8550
5.	Accounts liquidating to a deficit and accounts with debit balances				
	- gross amount	$ 0	8560		
	Less: amount offset by customer securities	0	8570	0	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			0	8590

Funds in Cleared Swaps Customer Segregated Accounts

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	$ 0	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segreated accounts		
	A. Cash	0	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
9.	Net settlement from (to) derivatives clearing organizations	0	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
12.	Cleared swaps customer funds on hand (describe:)	0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	0	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 0	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	$ 0	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	$ 0	8770

FREEDOM OF INFORMATION ACT ("FOIAct") NOTICE

Certain statements and schedules in financial reports received by the Commission from futures commission merchants ("FCMs") are considered by the Commission to be a public record, copies of which may be obtained upon written request to the Commission's Freedom of Information, Privacy and Sunshine Acts compliance staff in Washington, D.C. Such financial reports include CFTC Form 1-FR-FCM and Securities and Exchange Commission Financial and Operational Combined Uniform Single Report ("FOCUS report"). Pursuant to Section 1.10(g) of the Commission's regulations, the following portions of these reports will be considered public and be made available pursuant to requests received

(1) the Statement of Financial Condition;

(2) the Statement of the Computation of the Minimum Capital Requirements (the schedule of guaranteed introducing brokers that is to be included with this statement in Form 1-FR-FCM will be considered public);

(3) the Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Commodity Exchanges;

(4) the Statement of Segregation Requirements and Funds in Segregation for Customers' Dealer Options Accounts;

(5) the Statement of Secured Amounts and Funds Held in Separate Accounts for Foreign Futures and Foreign Options Customers;

(6) supplemental schedules that explain or provide additional details concerning any line item for which a supplemental schedule is specifically called for by the statements set forth in (1) through (5) above; and

(7) the independent public accountant's opinion, if the report is audited.

These statements will be considered public whether filed in electronic or in hard copy form. All other statements or schedules included in form 1-FR-FCM or the FOCUS report, and notes to audited financial reports will be deemed non-public.

Generally, the Commission may disclose to third parties portions of the "nonpublic" information in the report under the following circumstances:

(1) in connection with matters in litigation;
(2) in connection with Commission investigations;
(3) where the information is furnished to regulatory, self-regulatory and law enforcement agencies to assist them in meeting responsibilities assigned to them by law and

Petitions for confidential treatment filed by an FCM with respect to any of the public information contained in the Form 1-FR-FCM or the FOCUS report will not be processed by Commission staff. However, if the applicant or registrant believes that the placing of any other information submitted on or with its report in the Commission's public files would constitute an unwarranted invasion of the applicant's or registrant's personal privacy or would reveal sensitive business information, the registrant or applicant may petition the Commission to treat such other information as nonpublic pursuant to Section 145.9 in response to requests under the Freedom of Information Act. Section 145.9 of the Commission's regulations affords the applicant or registrant the right to notice and a right to

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM

EXCHANGE SUPPLEMENTARY INFORMATION

1.	Capital to be withdrawn within 6 months	$ 0	8000
2.	Subordinated Debt maturing within 6 months	$ 0	8010
3.	Subordinated Debt due to mature within 6 months that you plan to renew	$ 0	8020
	If Adjusted Net Capital is less than $2,000,000 please complete the lines 4 through 7:		
4.	Number of Associated Persons	0	8100
5.	Number of Branch Offices	0	8110
6.	Number of Guaranteed Introducing Brokers	0	8120
7.	Number of Guaranteed Introducing Broker Branch Offices	0	8130
	Futures Commission Merchants and Retail Foreign Exchange Dealers offering off-exchange foreign currency futures and options ("forex") to retail customers		
8.	Is the firm a registered Futures Commission Merchant ("FCM") that offers to be or acts as a counterparty to retail foreign exchange transactions or a Retail Foreign Exchange Dealer ("RFED")?	No	8135
9.	Gross revenue from Forex transactions with retail customers	$ 0	8140
10.	Total net aggregate notional value of all open Forex transactions in retail customer and non-customer (not proprietary) accounts	$ 0	8150
11.	Retail forex related Minimum Dollar Amount Requirement reported in Other NFA Requirement, Box 3575, Statement of Computation of the Minimum Capital Requirements, Line 22.C.		
	A. If offering to be or engaging as a counterparty in retail foreign exchange enter $20 million	$ 0	8175
	B. 5% of all liabilities the Forex Dealer Member ("FDM") owes to customers and eligible contract participant (ECP) counterparties that are not an affiliate of the FDM and are not acting as a dealer exceeding $10,000,000	0.00	8190
	C. 10% of all liabilities the FDM owes to ECP counterparties that are an affiliate of the FDM not acting as a dealer	0.00	8195
	D. 10% of all liabilities ECP counterparties that are an affiliate of the FDM and acting as a dealer owe to their customers (including ECPs), including liabilities related to retail commodity transactions as described in 2(c)(2)(D) of the Act	0.00	8200
	E. 10% of all liabilities the FDM owes to ECP counterparties acting as a dealer that are not an affiliate of the FDM, including liabilities related to retail commodity transactions as described in 2(c)(2)(D) of the Act	0.00	8205
	F. Sum of 11.A. - 11.E.	0.00	8210
12.	The aggregate performance bond requirement for all Customer and House accounts containing CME-cleared IRS positions. (Applicable for FCMs and broker-dealers which clear CME-cleared IRS products for customer or house accounts)	$ 0	8750

General Comments:

NFA Financial Requirements Section 16 Information

Leverage

1. Total Assets	$55,306,519	8800
2. Amount required to be segregated	17,162,530	8810
3. Amount required to be set aside in separate section 30.7 accounts	0	8820
4. Amount required to be segregated for cleared swaps customers	0	8830
5. US Treasury securities - Long (firm owned)	0	8850
6. US Government agency and government sponsored entities - Long(firm owned)	0	8860
7. Reverse Repos backed by US Treasury securities and US Government agency and government sponsored entities(firm owned)	0	8870
8. Ownership Equity	11,186,051	8880
9. Subordinated Loans	0	8890
10. Leverage	3.41	8900

Depositories

During the month did the firm maintain customer segregated funds at a depository which is an affiliate?	No	8910
During the month did the firm maintain separate 30.7 funds at a depository which is an affiliate?	No	8920
During the month did the firm maintain cleared swaps customer collateral at a depository which is an affiliate?	No	8925

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $ 5,138,000 [8930] ; or

b. Minimum percentage of customer segregated funds required: 0.00 [8940] ; or

c. Dollar amount range between: 0 [8950a] and 0 [8950b] ; or

d. Percentage range of customer segregated funds required between: 0.00 [8960a] and 0.00 [8960b]

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one)

a. Minimum dollar amount: $ 0 [8970] ; or

b. Minimum percentage of customer secured funds required: 0.00 [8980] ; or

c. Dollar amount range between: 0 [8990a] and 0 [8990b] ; or

d. Percentage range of customer secured funds required between: 0.00 [9000a] and 0.00 [9000b]

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one)

a. Minimum dollar amount: $ 0 [9010] ; or

b. Minimum percentage of cleared swaps customer collateral required: 0.00 [9020] ; or

c. Dollar amount range between: 0 [9030] and 0 [9031] ; or

d. Percentage range of cleared swaps customer collateral required between: 0.00 [9040] and 0.00 [9041]

Eligible Contract Participants

Did the firm act as counterparty to a forex transaction with any Eligible Contract Participants (ECP)?	No	9042
If yes, indicate the number of ECPs that the firm acted as a counterparty to a forex transaction(s).	0	9043

Name of Company:	Employer ID No:	NFA ID No:
MID CO COMMODITIES INC	371085132	0002588

CFTC FORM 1-FR-FCM
STATEMENT DETAILS
AS OF 9/30/2019

Other Receivables and Advances, Other - Non-Current (Box1565)

Reclassed Deferred Income Taxes		1,610
M.O.E. Billings		14,065
Due from Brokers		53,670
	Total	$ 69,345

Other Assets - Non-Current (Box1660)

CoBank		137,074
	Total	$ 137,074

Other Expenses (Box4330)

Lease Car Expense		0
Other Supplies and Services		0
Realized Loss Currency Exchange		-70
Travel Expenses - US		4,689
Fines and Penalties		0
Misc Expense		108
Membership Dues		1,300
Books and Subscriptions		221
Meeting Expense		-895
Registration Fees and Dues		563
Administrative Support		20
Bank Service Charges		-4,925
Office Supplies		945
Treasurer		3,042
Member Services Regions		6,225
Member Services Administrative		16,746
Legal/Professional Fees		2,019
GROWMARK Administrative		558
Controller		3,617
	Total	$ 34,163

Name of Company:	Employer ID No:	NFA ID No:

CFTC FORM 1-FR-FCM

LISTING OF GUARANTEED INTRODUCING BROKERS

WINJAMMER FILING

INITIAL
End Date:9/30/2019
Firm Name:MID CO COMMODITIES INC
Form:CFTC Form 1FR-FCM
Submit Date:10/23/2019

INITIAL
End Date:9/30/2019
Firm Name:MID CO COMMODITIES INC
Form:CFTC Form 1FR-FCM
Submit Date:10/23/2019

NFA Financial Requirements Section 8 Information

	FCMs which maintain customer segregated funds, secured funds, cleared swaps customer collateral, and/or offer to be or act as a counterparty to retail foreign exchange transactions or a RFED must complete the following:	
-	Is the firm a registered FCM which maintains customer segregated funds, secured funds, cleared swaps customer collateral, and/or offers to be or acts as a counterparty to retail foreign exchange transactions or a RFED?	**1** [9190]
-	Number of active customer accounts	**1,430** [8070]
-	Percentage of customer who are speculative traders	**0** [8071]
-	Percentage of customers who are hedge traders	**100** [8072]
-	Percentage of position traders	**100** [8073]
-	Percentage of day traders	**0** [8074]
-	Number of customers trading at reportable levels	**13** [8075]
-	Number of customer accounts which have direct access to an exchange	**0** [8083]
-	Do you charge margin on open positions intra-day?	**0** [8090]
-	If so, Do you offer reduced intraday margin rates to customers?	**0** [8077]
-		
-	Lowest round turn commission rate offered	**10** [8080]
-	Highest round turn commission rate offered	**85** [8081]
-	Does the firm provide trade execution services where the trades are given up to other brokers that agree to carry the positions?	**0** [9191]
-	Does the firm accept positions given in from other brokers that execute the trades?	**0** [9192]
-	Is the system used to monitor customer risk a proprietary, purchased or leased system?	Leased
-	Identify any non-trade based fees charged to customers.	
	Inactivity Fees	**No** [9109]
	Platform Usage Fees	**No** [9110]
	Statement Printing Fees	**No** [9111]
	Account Maintenance Fees	**No** [9112]
	Check Request Fees	**No** [9113]
	Wire Fees	**No** [9114]
	Convenience Fees	**No** [9115]
	Other Fees :	**No** [9116] **0** [9117]
-	Identify accepted forms of funding for customer trading accounts.	
	Cash	**Yes** [9118]
	Check	**Yes** [9119]
	Wire	**Yes** [9120]
	ACH	**Yes** [9121]
	Debit Card	**No** [9122]
	Credit Card	**No** [9123]
	Online Money Transfer Services (e.g. PayPal)	**No** [9124]
	Virtual Currency (e.g. Bit Coin)	**No** [9125]
	Securities or other non-cash collateral	**No** [9188]
		No [9126] **0** [9127]
	Other	
-	Identify the total number of customer accounts whose trading volume individually represents 10% or greater of the firm's overall trading volume for the month. For the purposes of this question, the FCM must aggregate all accounts with the same and/or like beneficial ownership.	**0** [9128]
-	Identify the total number of proprietary and non-customer accounts whose trading volume individually represents 10% or greater of the firm's overall trading volume for the month. For the purposes of this question, the FCM must aggregate all accounts with the same and/or like beneficial ownership.	**3** [9129]

INITIAL
End Date:9/30/2019
Firm Name:MID CO COMMODITIES INC
Form:CFTC Form 1FR-FCM
Submit Date:10/23/2019

- Identify all market segments in which your customers trade. Additionally, identify any products, regardless of expiration, which make up 20% or greater of open interest carried by the firm as of the reporting date.

SegmentCustomer In Segment20% or Greater	
Agricultural	**Yes** [9130]
	Yes [9131]
Broad Bases Security Indices	**No** [9132]
	No [9133]
Financials - Interest Rates	**No** [9134]
	No [9135]
Financials - Foreign Currencies (on exchange)	**No** [9136]
	No [9137]
Natural Resources - Energy	**Yes** [9138]
	No [9139]
Natural Resources - Metals	**No** [9140]
	No [9141]
OTC	**No** [9142]
	No [9143]
Swaps	**No** [9144]
	No [9145]
Security Futures Products	**No** [9146]
	No [9147]
Forex	**No** [9148]
	No [9149]
Physicals	**No** [9150]
	No [9151]
Securities	**No** [9152]
	No [9153]
Other	**0** [9154]
	No [9155]
	No [9156]

- Does the FCM hold customer Segregated, Secured or Cleared Swaps Customer Collateral funds in cash, outside the US? **No** [9157]
  Segregated **No** [9158]
  Secured: **No** [9159]
  Cleared Swaps Customer Collateral

- Does the FCM hold customer Segregated, Secured or Cleared Swaps Customer Collateral funds in securities, outside the US?Segregated **No** [9160]
  Secured: **No** [9161]
  Cleared Swaps Customer Collateral **No** [9162]
- Identify the total number of customer accounts which individually represents 5% or greater of the Segregated required balance as of the reporting date. For the purposes of this question, the FCM must aggregate all accounts with the same and/or like beneficial ownership. **3** [9163]
- Identify the total number of customer accounts which individually represents 5% or greater of the Secured required balance as of the reporting date. For the purposes of this question, the FCM must aggregate all accounts with the same and/or like beneficial ownership. **0** [9164]

INITIAL
End Date:9/30/2019
Firm Name:MID CO COMMODITIES INC
Form:CFTC Form 1FR-FCM
Submit Date:10/23/2019

-	Identify the total number of customer accounts which individually represents 5% or greater of the Cleared Swaps Customer Collateral required balance as of the reporting date. For the purposes of this question, the FCM must aggregate all accounts with the same and/or like beneficial ownership.	**0** [9165]
-	Identify the number of proprietary/non-customers accounts which have direct market access to an exchange.	**0** [9166]
-	Have you increased margin rates for any customer accounts or products beyond exchange minimums in the past month?	**0** [9167]
-	Identify entities with which commodity trading house accounts are held.	

Name: RJ OBrien
Country: US
Active: Yes
Date Added: 07-NOV-2017
# of Accounts: 1

Name: ADM INVESTOR SERVICES INC
Country: US
Active: Yes
Date Added: 09-MAR-1987
# of Accounts: 14

Name: ABN AMRO CLEARING CHICAGO LLC
Country: US
Active: Yes
Date Added: 21-MAR-2011
# of Accounts: 2

-	Identify counterparties to any repurchase or reverse repurchase agreements.	
-	Identify by lender, formal lines of credit and amounts drawn.	
-	Does the firm allow employees to engage in outside business activities?	**0** [9168]
-	During the period did the firm begin offering any products or services to customers that it did not previously offer?	**0** [9169]
-	If new products or services were offered during the period, describe them in the provided text box in detail.	**0** [9170]
-	Any material administrative, civil, enforcment, or criminal complaints or actions filed against the firm where such complaints or actions have not concluded, or any enforcement complaints or actions filed against the firm during the last three months?	**0** [9181]
-	How frequently does the firm perform scenario analyses to assess its financial health and continued compliance with capital and other regulatory requirements (stress testing)?	Weekly